

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF MICHIGAN
NORTHERN DIVISION

_____	X	
CARL SHUPE and CONSTRUCTION	:	
LABORERS PENSION TRUST FOR SOUTHERN	:	
CALIFORNIA, Individually and on Behalf of	:	No. 21-cv-11528
All Others Similarly Situated,	:	
Plaintiffs,	:	Honorable Thomas L. Ludington
	:	District Judge
v.	:	
	:	Honorable Anthony P. Patti
ROCKET COMPANIES, INC., JAY D. FARNER,	:	Magistrate Judge
DANIEL GILBERT and ROCK HOLDINGS INC.,	:	
Defendants.	:	
_____	X	

**INDEX OF EXHIBITS TO DEFENDANTS' MOTION FOR
SUMMARY JUDGMENT**

Challenged Statements

Exhibit 1 Chart of Alleged Misstatements

Rocket Companies, Inc. ("Rocket") Public Statements and Filings

Exhibit 2 Rocket's Prospectus, filed with the Securities and Exchange Commission ("SEC") on August 7, 2020 (excerpts)

Exhibit 3 Transcript of Rocket's Q2 2020 Earnings Call, dated September 2, 2020

Exhibit 4 Rocket's Form 8-K, filed with the SEC on November 10, 2020

- Exhibit 5 Rocket's Form 8-K, filed with the SEC on February 25, 2021
- Exhibit 6 Transcript of Rocket's Q4 2020 and FY 2020 Earnings Call, dated February 25, 2021
- Exhibit 7 Transcript of Morgan Stanley Technology, Media and Telecom Conference, dated March 3, 2021
- Exhibit 8 Transcript of Jay Farner's Interview with Stuart Varney, Fox Business, dated March 11, 2021
- Exhibit 9 Rocket's Form 10-K, filed with the SEC on March 24, 2021 (excerpts)
- Exhibit 10 Rocket's Form 8-K, filed with the SEC on May 5, 2021
- Exhibit 11 Transcript of Rocket's Q1 2021 Earnings Call, dated May 5, 2021
- Exhibit 12 Rocket's Form 10-K, filed with the SEC on March 1, 2022 (excerpts)

Expert Reports

- Exhibit 13 Expert Report of Chad Coffman, CFA, dated April 5, 2024
- Exhibit 14 Expert Report of Laura Starks, dated April 5, 2024
- Exhibit 15 Expert Report of Terrence Hendershott, dated April 5, 2024
- Exhibit 16 Expert Report of John Coates, dated April 5, 2024
- Exhibit 17 Amended Expert Report of Michael Trickey, dated April 11, 2024
- Exhibit 18 Expert Rebuttal Report of Mark Garmaise, dated May 15, 2024

Testimony

- Exhibit 19 Daniel Gilbert deposition transcript in *In re Rocket Cos., Inc. S'holder Deriv. Litig.*, C.A. No. 2021-1021-KSJM (Del. Ch.) (the “Delaware Action”), dated November 29, 2023
- Exhibit 20 Jay Farner deposition transcript in the Delaware Action, dated December 4, 2023
- Exhibit 21 Scott Elkins deposition transcript in the Delaware Action, dated December 15, 2023
- Exhibit 22 Bill Emerson deposition transcript in this Action, dated January 19, 2024
- Exhibit 23 Jay Farner deposition transcript in this Action, dated January 26, 2024
- Exhibit 24 Robert Walters deposition transcript in this Action, dated January 30, 2024
- Exhibit 25 Brian Brown deposition transcript in this Action, dated March 6, 2024
- Exhibit 26 Daniel Gilbert deposition transcript in this Action, dated March 22, 2024
- Exhibit 27 Robert Walters trial transcript in the Delaware Action, dated May 22, 2024
- Exhibit 28 Chad Coffman deposition transcript in this Action, dated June 18, 2024
- Exhibit 29 Michael Trickey deposition transcript in this Action, dated June 21, 2024

Analyst Reports

- Exhibit 30 Morgan Stanley Securities Analyst Report regarding Rocket, dated August 31, 2020

- Exhibit 31 Zelman Associates Securities Analyst Report regarding Rocket, dated September 4, 2020
- Exhibit 32 Jefferies Securities Analyst Report regarding Rocket, dated February 25, 2021
- Exhibit 33 Credit Suisse Securities Analyst Report regarding Rocket, dated February 25, 2021
- Exhibit 34 Morgan Stanley Securities Analyst Report regarding Rocket, dated February 26, 2021
- Exhibit 35 Wolfe Research Securities Analyst Report regarding Rocket, dated February 26, 2021
- Exhibit 36 Goldman Sachs Securities Analyst Report regarding Rocket, dated February 26, 2021
- Exhibit 37 Zelman Associates Securities Analyst Report regarding Rocket, dated March 1, 2021
- Exhibit 38 J.P. Morgan Securities Analyst Report regarding Rocket, dated March 3, 2021
- Exhibit 39 Morgan Stanley Securities Analyst Report regarding Rocket, dated March 4, 2021
- Exhibit 40 Morgan Stanley Securities Analyst Report regarding Rocket, dated March 9, 2021
- Exhibit 41 Barclays Securities Analyst Report regarding Rocket, dated May 6, 2021
- Exhibit 42 Morgan Stanley Securities Analyst Report regarding Rocket, dated May 6, 2021

Other Documents

- Exhibit 43 Exchange Agreement, dated August 5, 2020

- Exhibit 44 Morgan Stanley Presentation, dated August 13, 2020, bearing production number ROCKET_MICHIGAN00035437
- Exhibit 45 Email from Neil Guha to Michael Occi, Scott Elkins, and Ted Bealin, dated October 28, 2020, bearing production number ROCKET_MICHIGAN00022799 (attachment excluded)
- Exhibit 46 Email from Natasha Cooksey to Brian Brown and Leila May, dated November 10, 2020, bearing production number ROCKET_MICHIGAN00072215 (certain attachments excluded and excerpted)
- Exhibit 47 Text message thread between Jay Farner and Julie Booth, dated December 1, 2020, bearing production number ROCKET_MICHIGAN00086175
- Exhibit 48 Text message thread among Brian Brown, Brian Gibson, and others, dated December 4, 2020, bearing production number ROCKET_MICHIGAN00234381
- Exhibit 49 Meeting invite, dated February 17, 2021, bearing production number ROCKET_MICHIGAN00044503 (attachments excluded)
- Exhibit 50 Meeting invite, dated March 1, 2021, bearing production number ROCKET_MICHIGAN00106591
- Exhibit 51 Text message thread between Jay Farner and Scott Elkins, dated March 1, 2021, bearing production number ROCKET_MICHIGAN00235218
- Exhibit 52 Text message thread among Julie Booth, Scott Elkins, and Taylor Roel, dated March 1, 2021, bearing production number ROCKET_MICHIGAN00173633
- Exhibit 53 Meeting invite, dated March 3, 2021, bearing production number ROCKET_MICHIGAN00102464

- Exhibit 54 Text message thread between Jay Farner and Scott Elkins, dated March 10, 2021, bearing production number
ROCKET_MICHIGAN00173711
- Exhibit 55 Text message thread among Brian Brown, Julie Booth, and others, dated March 10, 2021, bearing production number
ROCKET_MICHIGAN00173024
- Exhibit 56 Email from Kristie Warne to Michelle Orlando, Taylor Roel, and others dated March 11, 2021, bearing production number
ROCKET_MICHIGAN00117997
- Exhibit 57 Minutes of the Special Meeting of the Audit Committee, dated March 22, 2021, bearing production number
ROCKET_MICHIGAN00164824
- Exhibit 58 Minutes of the Meeting of the Board of Directors, dated March 23, 2021, bearing production number
ROCKET_MICHIGAN00164828
- Exhibit 59 Finance Overview Presentation to Rocket's Board of Directors, dated March 23, 2021, bearing production number
ROCKET_MICHIGAN00111708
- Exhibit 60 Text message thread between Jay Farner and Scott Elkins, dated March 24, 2021, bearing production number
ROCKET_MICHIGAN00235555
- Exhibit 61 Text message thread among Aaron Emerson, Angelo Vitale, and others, dated March 26, 2021, bearing production number
ROCKET_MICHIGAN00235557
- Exhibit 62 Transcript of voicemail from Goldman Sachs, dated March 29, 2021; voicemail bearing production number
ROCKET_MICHIGAN00172994
- Exhibit 63 Text message thread among Aaron Emerson, Angelo Vitale, and others, dated March 29, 2021, bearing production number
ROCKET_MICHIGAN00173638

- Exhibit 64 Meeting invite, dated March 29, 2021, bearing production number ROCKET_MICHIGAN00233869
- Exhibit 65 Meeting invite, dated April 5, 2021, bearing production number ROCKET_MICHIGAN00095613 (certain attachments excluded)
- Exhibit 66 Email from George Popofski to Joe Freers and Tina John, dated May 13, 2021, bearing production number ROCKET_MICHIGAN00159693 (certain attachments excluded)
- Exhibit 67 Rocket's Insider Trading Policy, bearing production number ROCKET_MICHIGAN00160777
- Exhibit 68 Unpublished opinions cited in Defendants' Motion (per the Court's Individual Practices):

Beleson v. Schwartz, 419 F. App'x 38 (2d Cir. 2011)

Fosbre v. Las Vegas Sands Corp., 2017 WL 55878 (D. Nev. Jan. 3, 2017)

Furher v. Ericsson, 363 F. App'x 763 (2d Cir. 2009)

Hartel v. GEO Grp., Inc., 2021 WL 4397841 (S.D. Fla. Sept. 23, 2021)

In re Wash. Prime Grp., Inc. Sec. Litig., 2024 WL 1307103 (S.D. Ohio Mar. 27, 2024)

Murphy v. Precision Castparts Corp., 2020 WL 4040827 (D. Or. July 17, 2020)

Pension Fund Grp. v. Tempur-Pedic Int'l, Inc., 614 F. App'x 237 (6th Cir. 2015)

Saxe v. Dlusky, 268 F. App'x 438 (6th Cir. 2008)